



COMMODITIES ACCOUNT OPENING FORM

MANDATORY DOCUMENTS

DOCUMENT	SIGNIFICANCE	PAGE(s)
Account Opening Form	a) KYC form - Document captures the basic information about the constituent and an instruction/check list. b) Document captures the additional information about the constituent relevant to trading account and an instruction/check list.	3
Rights and Obligations	Provided to client	
Risk Disclosure Document (RDD)	Provided to client	
Guidance Note	Provided to client	
Policies and Procedures	Provided to client	
Tariff Sheet	Details the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	7
FATCA CRS Declaration	Client's self declaration on holding of overseas asset if any	10

VOLUNTARY CLAUSE

DOCUMENT	SIGNIFICANCE	PAGE(s)
Authorization for Electronic Contract Notes	Letter of Authorization for Electronic Contract Notes.	8
Authorization of running account/request letter	Letter or authority/request to Vijeta Multi Commodities Pvt. Ltd..	8

REGISTERED OFFICE ADDRESS

VIJETA Multi Commodities Pvt. Ltd.
118, Maharani Udyog, Somnath Road,
Nani Daman, Daman - 396210
Email: vmc@vijetabroking.com
www.vijetabroking.com

CEO

Mr. Mehul K. Shah
Telephone: +91-22-4202-3300
Email: mehul@vijetabroking.com

COMPLIANCE OFFICER

Mr. Yatin K. Shah
Telephone: +91-22-4202-3300
Email: yatin@vijetabroking.com

KYC PROCESSING OFFICE ADDRESS

Vijeta Multi Commodities Pvt. Ltd
1/C, Jijibhoy Indl. Estate,
Opp. Vakharia Indl. Estate,
Ram Mandir Road,
Ram Mandir (West),
Mumbai - 401104
Email: vmc@vijetabroking.com

SEBI | FMC REGISTRATION NUMBERS

SEBI | FMC Regn. No. INZ000021330
| MCX: MCX/TM/CORP/0785
Registration Date: April 12, 2016
Clearing Member: ISSL Settlement & Transaction
Services Limited, MCX: MCX/CM/CORP/108

For any grievance/ dispute please contact Vijeta Multi Commodities Pvt. Ltd. at the above address and/ or email id: igredressal@vijetabroking.com and/ or Phone No. +91-22-4202-3300. In case you are not satisfied with the response, please contact the concerned exchange(s) at MCX at [grievance@mcxindia.com and Phone No. +91-22-6731-8888].

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

(A) IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

(B) PROOF OF IDENTITY (P01): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Bank.

(C) PROOF OF ADDRESS (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be Valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FI/sub account, Power of Attorney given by FM/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

(D) EXEMPTIONS/CLARIFICATIONS TO PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/-p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

(E) LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENTS - ILLUSTRATIVE LIST

In respect of other clients, documents as per risk management policy of the Trading Member need to be provided by the client from time to time.

1. Copy of ITR Acknowledgement
2. Copy of Annual Accounts
3. In case of Salary Income – Salary Slip, Copy of Form 16
4. Net Worth Certificate
5. Copy of demat account holding statement.
6. Bank account statement for last 6 months
7. Self declaration with relevant supporting documents.
8. Any other relevant documents substantiating ownership of Assets.



Know Your Client (KYC) Application Form (For Individuals Only)

Please fill type the form in English and BLOCK letters. Sign all areas marked with 'x'. Once completed, please mail the completed form along with the necessary proofs to our corporate office in Mumbai.

PLEASE AFFIX A
RECENT PASSPORT
PHOTOGRAPH AND
SIGN ACROSS IT

CLIENT'S SIGNATURE [1]

A. Identity Details (please see guidelines)

FULL NAME (As appearing in supporting identification document):	
FATHER / SPOUSE'S NAME:	MAIDEN NAME: (If any)
MOTHER NAME:	
GENDER <input type="radio"/> MALE <input type="radio"/> FEMALE <input type="radio"/> T - TRANSGENDER	MARITAL STATUS <input type="radio"/> MARRIED <input type="radio"/> UNMARRIED <input type="radio"/> OTHERS
CITIZENSHIP <input type="radio"/> IN - INDIAN <input type="radio"/> OTHERS (ISO 3166 COUNTRY CODE [] [])	DATE OF BIRTH (dd/mm/yyyy) [] [] [] [] [] [] [] [] [] []
RESIDENTIAL STATUS <input type="radio"/> RESIDENT INDIVIDUAL <input type="radio"/> NON RESIDENT INDIAN <input type="radio"/> FOREIGN NATIONAL <input type="radio"/> PERSON OF INDIAN ORIGIN	
PAN (Please enclose a duly attested copy) [] [] [] [] [] [] [] [] [] []	AADHAAR NUMBER (if any)
PROOF OF IDENTITY SUBMITTED FOR PAN EXEMPT CASES (Please Tick ✓) <input type="radio"/> UID (AADHAAR) <input type="radio"/> PASSPORT <input type="radio"/> VOTER ID <input type="radio"/> DRIVING LICENCE <input type="radio"/> OTHERS _____ (Please see guideline 'D') <input type="radio"/> NREGA JOB [] [] [] [] [] [] [] [] [] []	

B. Address Details (Please see guidelines)

Address Type* * <input type="checkbox"/> Residential / Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified		
ADDRESS FOR RESIDENCE/CORRESPONDENCE:		
CITY/TOWN/VILLAGE:	PIN CODE [] [] [] [] [] [] [] []	STATE:
TEL (OFF):	TEL (RES):	COUNTRY:
MOBILE: [] [] [] [] [] [] [] [] [] []	FAX:	
EMAIL ADDRESS:		
PERMANENT ADDRESS OF RESIDENT APPLICANT IF DIFFERENT FROM ABOVE B1 OR OVERSEAS ADDRESS(MANDATORY) FOR NON RESIDENT APPLICANT:		
CITY/TOWN/VILLAGE:	PIN CODE [] [] [] [] [] [] [] []	STATE:
		COUNTRY:
PROOF OF ADDRESS TO BE PROVIDED BY APPLICANT. PLEASE SUBMIT ANY ONE OF THE FOLLOWING VALID DOCUMENTS & CHOOSE THE DOCUMENT ATTACHED <input type="radio"/> PASSPORT <input type="radio"/> RATION CARD <input type="radio"/> REGISTERED LEASE/SALE AGREEMENT OF RESIDENCE <input type="radio"/> DRIVING LICENCE <input type="radio"/> VOTER IDENTITY CARD <input type="radio"/> *LATEST BANK A/c STATEMENT/PASSBOOK <input type="radio"/> *LATEST TELEPHONE BILL (Only landline) <input type="radio"/> *LATEST ELECTRICITY BILL <input type="radio"/> *LATEST GAS BILL <input type="radio"/> OTHERS (Please Specify) _____ *Not more than 3 months old. Validity/Expiry date of proof of address submitted (dd/mm/yyyy) [] [] [] [] [] [] [] [] [] []		
ANY OTHER INFORMATION:		

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I may be held liable for it.

CLIENT'S SIGNATURE [2] <u>X</u>	PLACE:
	DATE (DD/MM/YYYY) [] [] [] [] [] [] [] [] [] []

FOR OFFICE USE ONLY

AMC/Intermediary name OR code <input type="checkbox"/> (Originals verified) True copies of documents received <input type="checkbox"/> (Attested) True copies of documents received Main Intermediary	Application Type* <input type="checkbox"/> New <input type="checkbox"/> Update KYC Number (Mandatory for KYC update) [] Account Type* <input type="checkbox"/> Normal <input type="checkbox"/> Small <input type="checkbox"/> Simplified (or Low Risk Customers)	IPV Done <input type="checkbox"/> on (dd/mm/yyyy) [] Seal/Stamp of the intermediary should contain Staff Name Designation Name of the Organization Signature Date Place
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SECTION A

DEALINGS THROUGH OTHER MEMBERS

IF CLIENT IS DEALING THROUGH ANY OTHER MEMBER, PLEASE PROVIDE THE FOLLOWING DETAILS
(IN CASE DEALING WITH MULTIPLE MEMBERS, PROVIDE DETAILS OF ALL IN A SEPARATE SHEET CONTAINING
ALL THE INFORMATION AS MENTIONED BELOW)

MEMBER/AUTHORIZED PERSON NAME:		EXCHANGE:	EXCHANGE REGISTRATION NUMBER:	
CONCERNED MEMBER'S NAME WITH WHOM AP IS REGISTERED:			CLIENT CODE:	
REGISTERED OFFICE ADDRESS:				CITY:
STATE:	COUNTRY:	TELEPHONE:	FAX:	
EMAIL ID (As mentioned on Page 3):		DETAILS OF DISPUTES/DUES PENDING FROM/TO SUCH MEMBER/AP:		
WEBSITE:				

SECTION B

VAT DETAILS (AS APPLICABLE, STATEWISE)

LOCAL SALES TAX REGISTRATION NUMBER:				
VALIDITY DATE (DD/MM/YYYY)			NAME OF THE STATE:	
OTHER SALES TAX REGISTRATION NUMBER:				
VALIDITY DATE (DD/MM/YYYY)			NAME OF THE STATE:	

SECTION C

SALES TAX REGISTRATION DETAILS

LOCAL SALES TAX REGISTRATION NUMBER:				
VALIDITY DATE (DD/MM/YYYY)			NAME OF THE STATE:	
CENTRAL SALES TAX REGISTRATION NUMBER:				
VALIDITY DATE (DD/MM/YYYY)			NAME OF THE STATE:	
OTHER SALES TAX REGISTRATION NUMBER:				
VALIDITY DATE (DD/MM/YYYY)			NAME OF THE STATE:	

SECTION D

INVESTMENT/TRADING EXPERIENCE AND ADDITIONAL DETAILS

NO PRIOR EXPERIENCE IN TRADING / INVESTMENT

NUMBER OF YEARS OF COMMODITIES EXPERIENCE:	YEARS OF EXPERIENCE IN OTHER INVESTMENT FIELDS:	
CONTRACT NOTE PREFERENCES		EMAIL ADDRESS:
<input type="radio"/> ELECTRONIC CONTRACT NOTE (ECN) <input type="radio"/> PHYSICAL CONTRACT NOTE		

SECTION E

INTRODUCER DETAILS

(OPTIONAL)

INTRODUCER'S NAME:	INTRODUCER'S RELATIONSHIP:	
INTRODUCER'S STATUS: <input type="radio"/> EXISTING CLIENT <input type="radio"/> AUTHORIZED PERSON <input type="radio"/> SUB-BROKER <input type="radio"/> REMISIER <input type="radio"/> OTHERS, PLEASE SPECIFY _____		
INTRODUCER'S ADDRESS:		
INTRODUCER'S PHONE NUMBER:	INTRODUCER'S CLIENT ID:	INTRODUCER'S SIGNATURE

PLEASE SIGN BELOW ATTESTING TO ALL THE INFORMATION ON THIS PAGE

CLIENT'S NAME:

CLIENT'S SIGNATURE [3] X _____

DATE (DD/MM/YYYY) | | | | | | | |

TRADING ACCOUNT RELATED DETAILS

SECTION F

PRIMARY BANKING ACCOUNT

BANK NAME		BRANCH ADDRESS					
CITY/TOWN/VILLAGE			PIN CODE			STATE	
						COUNTRY	
ACCOUNT NO.		MICR NUMBER			IFSC CODE		
ACCOUNT TYPE <input type="radio"/> CURRENT <input type="radio"/> SAVINGS <input type="radio"/> NRI / NRE / NRO <input type="radio"/> OTHERS (Please Specify) _____							

SECTION G

OTHER DETAILS

GROSS ANNUAL INCOME RANGE		OR	NET WORTH ON DATE (NOT OLDER THAN 1 YEAR)			
<input type="radio"/> BELOW 1 LAC <input type="radio"/> 1 - 5 LAC <input type="radio"/> 5 - 10 LAC <input type="radio"/> 10 - 25 LAC <input type="radio"/> OVER 25 LAC			VALUE _____ DATE (DD/MM/YYYY)			
FOR NON INDIVIDUALS: <input type="radio"/> 25 LAC - 1 CRORE <input type="radio"/> OVER 1 CRORE						
AFFILIATIONS: <input type="radio"/> POLITICALLY EXPOSED PERSON (PEP) <input type="radio"/> RELATED TO A POLITICALLY EXPOSED PERSON (RPEP)						
OCCUPATION:						
<input type="radio"/> PRIVATE SECTOR SERVICE <input type="radio"/> PUBLIC SECTOR <input type="radio"/> PROFESSIONAL <input type="radio"/> HOUSEWIFE <input type="radio"/> STUDENT <input type="radio"/> GOVERNMENT SERVICE <input type="radio"/> AGRICULTURIST <input type="radio"/> BUSINESS <input type="radio"/> RETIRED <input type="radio"/> OTHER _____						
ANY OTHER INFORMATION						

SECTION H

TRADING PREFERENCES

COMMODITY FUTURE CLIENT'S SIGNATURE [4A] X	COMMODITY OPTION CLIENT'S SIGNATURE [4B] X
DATE (DD/MM/YYYY)	

SECTION I

PAST ACTIONS

Details of any action/ proceedings initiated/ pending/ taken by SEBI/ Stock exchange/ FMC/ any other authority against the applicant/ constituent or its Partners/ promoters/ whole time directors/ authorized persons in charge of dealing in Commodities during the last 3 years.

SECTION J DETAILS OF RELATED PERSON (RP) (In case of additional related persons, please fill Annexure B1') (Please refer instruction G at the end)

<input type="checkbox"/> Addition of RP	<input type="checkbox"/> Deletion of RP	KYC Number of RP (if available*)		
RP Type* <input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorized Representative				
Prefix		First Name	Middle Name	Last Name
Name*				
(If KYC number and name are provided, Proof of identity of RP required)				

SECTION K

NOMINATION DETAILS (FOR INDIVIDUALS ONLY)

<input type="radio"/> I WISH TO NOMINATE THE FOLLOWING PERSON <input type="radio"/> I DO NOT WISH TO HAVE A NOMINEE		
NOMINEE'S NAME:		RELATIONSHIP WITH THE NOMINEE:
NOMINEE'S ADDRESS:		
NOMINEE'S PHONE NUMBER:	NOMINEE'S PAN CARD NUMBER	NOMINEE'S DATE OF BIRTH (DD/MM/YYYY)

IF NOMINEE IS A MINOR, PLEASE PROVIDE DETAILS OF HIS/HER GUARDIAN

GUARDIAN'S NAME:	GUARDIAN'S ADDRESS:
GUARDIAN'S PHONE NUMBER:	GUARDIAN'S SIGNATURE:

TWO WITNESSES ARE REQUIRED TO ATTEST IF YOU WANT TO NOMINATE A PERSON

PRIMARY WITNESS' NAME:	PRIMARY WITNESS' ADDRESS:
PRIMARY WITNESS' PHONENUMBER:	PRIMARY WITNESS' SIGNATURE:
SECONDARY WITNESS' NAME:	SECONDARY WITNESS' ADDRESS:
SECONDARY WITNESS' PHONE NUMBER:	SECONDARY WITNESS' SIGNATURE:

PLEASE SIGN BELOW ATTESTING TO ALL THE INFORMATION ON THIS PAGE

CLIENT'S NAME: _____

CLIENT'S SIGNATURE [5] <input checked="" type="checkbox"/> _____	DATE (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
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DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

CLIENT'S NAME	PLACE
CLIENT'S SIGNATURE [6] <input checked="" type="checkbox"/> _____	DATE (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

FOR OFFICE USE ONLY

CLIENT'S UCC CODE	EMPLOYEE CODE:	EMPLOYEE DESIGNATION	:
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VERIFICATION OF DOCUMENTS

DOCUMENTS VERIFIED BY:	EMPLOYEE DESIGNATION:	ON DATE (DD/MM/YYYY)	
EMPLOYEE SIGNATURE:	EMPLOYEE CODE:		

CLEINTS INTERVIEW

CLIENT INTERVIEWED BY	EMPLOYEE DESIGNATION:	ON DATE (DD/MM/YYYY)	
EMPLOYEE SIGNATURE:	EMPLOYEE CODE:		

IN PERSON VERIFICATION (IPV)

IPV PERFORMED BY	EMPLOYEE DESIGNATION:	ON DATE (DD/MM/YYYY)	
EMPLOYEE SIGNATURE:	EMPLOYEE CODE:		

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/ We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/ We have given/ sent him a copy of all the KYC documents. I/ We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/ We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/ our website, if any, for the information of the clients.

SIGNATURE OF THE AUTHORISED SIGNATORY	DATE(DD/MM/YYYY)	SEAL/STAMP OF THE INTERMEDIARY
	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

TARIFF SHEET

TO: Vijeta Multi Commodities Private Limited

Dear Sir or Madam,

I would like to subscribe to the Vijeta Multi Commodities Pvt. Ltd. for trading and request Vijeta Multi Commodities Pvt. Ltd. to apply brokerage charges as mentioned and as per the terms and conditions of Vijeta Multi Commodities Pvt. Ltd..

BROKERAGE CHARGE

Transaction Type	Brokerage % (Future & Options)	Minimum Brokerage
Delivery		
Square-up One Side		
Square up (other side same day Sqaure up		

STATUTORY CHARGES

In addition to the above monthly subscription charges, the following statutory charges will also be levied at actuals: Commodities Transaction Tax, Transaction Charges, Stamp Duty, SEBI Turnover Fees, Clearing Member Charges and GST. Electronic Contract Notes are free. In case of any request for Physical Contract note, the Contract Note copies will be charged at Rs. 50 per contract note (for ECN clients) + Courier charges as applicable. Depository Participant charges as levied by VMCPL. Terms and Conditions can be subject to change provided the same is done as for applicable guidelines of SEBI/exchange.

The terms and conditions of the Vijeta Multi Commodities Pvt. Ltd. plans have been read and understood by me. I wish to avail the plans below provided by Vijeta Multi Commodities Pvt. Ltd. for my trading account subject to the terms and conditions mentioned above.

I declare that I have read and understood the terms and conditions governing the Vijeta Multi Commodities Pvt. Ltd.. Note: Brokerage is also charged on expired, exercised and assigned Futures and Options contracts.

Yours faithfully,

CLIENT'S NAME

**CLIENT'S
SIGNATURE [7]** X _____

DATE (DD/MM/YYYY)

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FOR OFFICE USE ONLY

CLIENT'S TRADING ACCOUNT NUMBER

LETTER FOR AUTHORIZATION FOR ELECTRONIC CONTRACT NOTES & AUTHORIZATION FOR RUNNING ACCOUNT

TO: Vijeta Multi Commodities Private Limited,
118, Maharani Udyog, Rani Sati Marg,
Dabhel Nani Daman, Daman - 396210

SUB: Authorization for Electronic Contract Notes & AUTHORIZATION FOR RUNNING ACCOUNT

I have been/shall be dealing through you as my broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and/or Interest Rate Futures Segments. As my broker i.e. agent I direct and authorize you to carry out trading/dealings on my behalf as per instructions given below.

I understand that, I have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I hereby opt to receive contract notes in electronic form. I understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me:

EMAIL ADDRESS (Same as mentioned on Page 3): _____

1. I also agree that non-receipt of bounced mail notification by you shall amount to delivery at my email account /email id.
2. I agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
3. I agree that the log reports of your dispatching software shall be a proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.
4. I understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I am an internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.
5. I understand that, you shall send physical copy of the contract note within 24 hours if you received a bounced email.
6. I am a registered client with you, and am dealing in Commodity Market segment(s), and have a Vijeta Multi Commodities Pvt. Ltd. client code as mentioned below.
7. I am aware that payout / dividend received / credited from the exchange(s) against settlement of transaction as per settlement cycle is available within 1 working day of the payout from the Exchange. It is difficult for me to make available the required funds on every pay-in of funds obligation / margin obligation, hence I request you to maintain my account on a running basis and retain the payout received / credit balance in my account for my future obligation / margin obligation or other liabilities unless I instruct otherwise.
8. I hereby further authorize you to debit / credit / transfer the amounts between the various segments either on the same Exchange and / or Exchanges to meet my/our obligation or various dues payable to you / Exchange(s).
9. If payment of funds is required, I shall request you in writing or through the web option for funds withdrawal on the web login. Further it may be noted that if required I may revoke this authorization at any time after giving request in writing.
10. This running account authorization would continue until it is revoked by me. The actual settlement of funds shall be done at least once in the preference period selected below: QUARTERLY MONTHLY
11. While settling the account having outstanding obligations on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. You may further retain sum of rupees 10,000/-
12. I shall bring any dispute arising from the statement of account or settlement so made to your notice within 30 working days from the date of receipt of funds or statement, as the case may be else it will be final

Yours faithfully,

CLIENT'S NAME

**CLIENT'S
SIGNATURE [8] X** _____

DATE (DD/MM/YYYY) | | | | | | | |

FOR OFFICE USE ONLY

Verification of the Client Signature done by:

DESIGNATED OFFICER SIGNATURE

DATE (DD/MM/YYYY) | | | | | | | |

DESIGNATED OFFICER NAME:

CLIENT'S UNIQUE CLIENT CODE:

ACKNOWLEDGEMENT LETTER

TO: Vijeta Multi Commodities Private Limited
118, Maharani Udyog, Rani Sati Marg,
Dabhel, Nani Daman - 396210

Dear Sir / Madam,

I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Members, Authorised Persons and Clients
2. Risk Disclosure Document
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
4. General Terms and Conditions and the policy and procedures governing the commodity trading and broking services of Vijeta Multi Commodities Pvt. Ltd..

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will.

I/We hereby confirm that I/We have clearly understood and agree to abide by the Terms and Conditions described by Vijeta Multi Commodities Pvt. Ltd (VMCPL). I/We also understand that these general Terms and Conditions can be changed by VMCPL from time to time with prior notice of 7 days and subject to posting of the amendments and modifications therein on it's website and their applicability with prospective effect.

I/We state that I have read and understood all above documents including the Terms and Conditions governing commodities trading and broking services of Vijeta Multi Commodities Pvt. Ltd.. and agree to abide by the same.

This is to inform you as per Rules, Regulations and Bye-laws of Multi Commodity Exchange of India Ltd. (MCX) that we do Client based trading and Proprietary trading and we are not indulged in portfolio management services.

I/We acknowledge receipt of information given above by Vijeta Multi Commodities Pvt. Ltd. that they do Client based trading and Proprietary trading and they are not indulged in portfolio management services.

Yours faithfully,

CLIENT'S NAME	
CLIENT'S SIGNATURE [9] <u>X</u>	DATE (DD/MM/YYYY) <input type="text"/>

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Please fill the information below as requested

Name of the Account Holder

Indicate () your Tax Residency /
Citizenship / Nationality

India U.S.A Others

If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:

Specify City and Country of Birth

Specify Country(ies) of Tax Residency/
Citizenship / Nationality /
Green card holder, other than India

Tax Identification Number (for U.S.A.)
or its functional equivalent (other
than U.S.A.)

Source of Wealth

Salary Business
 Gift Rental Income
 Royalty Ancestral Property
 Prize Money Others

DECLARATION

I / We hereby declare, agree and confirm the following:

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Vijeta Multi Commodities Private Limited within 30 days, in case of any change in the above given status on a future date;
- b) If I /we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Vijeta Multi Commodities Private Limited to the relevant tax authority. or information may be shared with concerned Asset Management Companies (AMCs) or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;

CLIENT'S SIGNATURE [10]

X

What is FATCA / CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

US Person means: In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.)

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
3. Self certification for stating reasons for not obtaining U.S. citizenship at birth.

PARTA: INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT (ALL THE CLAUSES MENTIONED IN THE 'RIGHTS AND OBLIGATIONS' DOCUMENT(S) SHALL BE APPLICABLE. ADDITIONALLY, THE CLAUSES MENTIONED HEREIN SHALL ALSO BE APPLICABLE)

1. Trading Member is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The Trading Member shall comply with all requirements not applicable to internet based trading/ securities using trading wireless technology as may be specified by SEBI & the Exchanges from time to time
2. The client is desirous of investing/ trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Trading Member shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The Trading Member shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/ internet/ smart order routing or any other technology should be brought to the notice of the client by the Trading Member.
4. The Trading Member shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/ SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whose ever through the Stock broker's System IBT using the Client's Username and/ or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/ securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/ or his authorized representative are not revealed to any third party including employees and dealers of the Trading Member.
6. The Client shall immediately notify the Trading Member in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/ suspects discrepancies/ unauthorized access through his username/password/ account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/ securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/ password in any manner whatsoever.
8. The Trading Member shall send the order/ trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology the Trading Member shall send the order/ trade confirmation on the device of the client
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Trading Member and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Trading Member on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/ system failure at the Client/ Stock brokers/ Exchange end for any reason beyond the control of the Trading Member/ Exchanges

CLIENT'S NAME	
<div style="border: 1px solid black; padding: 5px;"> <p>CLIENT'S SIGNATURE [11] X _____</p> </div>	<p>DATE (DD/MM/YYYY) <input style="width: 100px; height: 20px; border: 1px solid black;" type="text"/></p>



Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only (To be filled by financial institution)

Application Type* New Update

KYC Number (Mandatory for KYC update request)

Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)	
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian	<input type="checkbox"/> Person of Indian Origin
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector)
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)
	<input type="checkbox"/> B-Business		
	<input type="checkbox"/> X- Not Categorised		

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)

Voter Identity Card NREGA Job Card Others please specify

Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

Date

To,

Vijeta Multi Commodities Pvt. Ltd.

1 / C, Jijibhoy Industrial Estate,

Opp Vakharia Industrial Estate

2nd Floor, Ram Mandir Road

Goregaon (W)

Mumbai: - 400 104

Respected Sir / Madam,

Sub: Confirmation for Email ID & Mobile No.

I Mr. / Mrs. _____ wanted to open a Trading account with you and agree for the receipt of the Contract Note in an Electronic form.

I further confirm that I am having No objection for the receipt of the Contract Note on Email ID

_____ and daily trade confirmation on Mobile No. _____ as it

belongs to _____ and we are from a same family.

Kindly update the same & do the needful at the earliest.

Thanking You,

Yours Faithfully,

(Name & Signature of the Account Holder)

VOLUNTARY
SMS AND E-MAIL ALERTS TO INVESTORS BY STOCK EXCHANGE
(Ref: SEBI circular no. CIR/MIRSD/15/2011 dated august 02, 2011)

I request you to enroll me for the following facility offered by the stock exchange pursuant to above referred SEBI circular SMS Facility on mobile no provided in my KYC

1) Mobile no belongs to

- Self
 Family member

2) In case of family member please specify the relationship:

- Dependent child
 Dependent parents
 Spouse

3) PAN No. of the family Member whose E-mail id is provided above:

E-mail Facility on e-mail id provider in my KYC

1) E-mail id belongs to

- Self or Family member

2) In case of family member please specify the relationship

- Dependent child or dependent parent
 Spouse

3) PAN no. of the family member whose E-mail id is provided above:

Note:

1. Individual client is invariably required to provide his own mobile no. except in case of family members where the following relationship exist dependent child or dependent parent or spouse
2. Non Individual client shall provide the mobile / e-mail details of the person authorized to receive such communication & as provided in the KYC
3. Client may please note that mobile no. of trading member (TM)/employees of TM/ sub broker/ authorized person are not permitted for receiving the SMS/E-mail communication from exchange
4. Client may note that the circular is available for download on the exchange's website.

Signature of Client \$27_____